

# Introduction to Gauge Theory and Invariants

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October 7, 2020

# Plan

- History
- Mathematical background
- Motivation
- Example: Casson invariant

# History

## Physics

Equations of motion with *local symmetries*, i.e. some differential equation  $F(x) = 0$  invariant under Lie group  $G$  of transformations, where the element  $g \in G$  acting depends on  $x$ .

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The "Standard Model" of particle physics has  $G = U(1) \times SU(2) \times SU(3)$

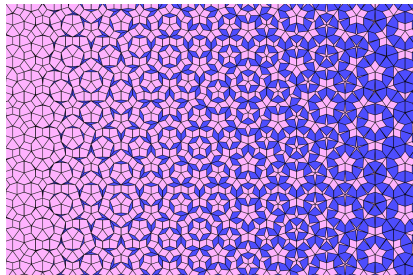
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*Local symmetries*

## Background: Topology

### Principal bundles

Let  $G$  be a Lie group. A principal  $G$ -bundle  $P$  over manifold  $M$  is a fibre bundle with fibre  $G$ , and a (free, transitive) action of  $G$  on the fibre: i.e. Locally (on open sets  $U \subset M$ ) we have  $P|_U \cong U \times G$ , with some transition maps  $G \rightarrow G$  between the fibres over different open sets.

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The gauge group  $\mathcal{G} := \text{Aut}(P)$ , i.e. the group of  $G$ -equivariant maps  $P \rightarrow P$  that preserve the fibres of  $P$ . Since it preserves the fibre, and each fibre is generated by the action of  $G$ , an element in  $\mathcal{G}$  can also be thought of as equivariant map from  $P$  to  $G$ .



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$\text{Ad}P := P \times_{\text{Ad}} \mathfrak{g}$ , i.e.  $(P \times \mathfrak{g}) / G$  where  $G$  acts on its lie algebra  $\mathfrak{g}$  via the adjoint representation.

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### Example

$P := M \times SU(2)$  as in the previous example. Then  $\text{Ad}P \cong M \times \mathfrak{su}(2)$ .

## Background: Differential Geometry

Connections  $A \in \mathcal{A}$ 

A **connection** (locally on  $U$ ) at  $(x, g) \in U \times G$  is given by  $A = g^{-1}dg + gA_Ug^{-1}$  where  $A_U$  is a one-form on  $U$  with values in the lie algebra  $\mathfrak{g}$  of  $G$ . Explicitly, if  $x = (x^1, \dots, x^n)$  are coordinates on  $U$ , and  $E_i : U \rightarrow \mathfrak{g}$ ,  $A_U|_x = \sum_i E_i(x) \otimes dx^i$ . Warning: this depends on our choice of coordinates. We will denote the space of connections on a fixed bundle  $\mathcal{A}$ .

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$\phi \in \mathcal{G}$  acts via the adjoint representation on  $F_A$ , i.e.  
 $F_{\phi(A)} = \phi^{-1}F_A\phi$ .

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We say a connection is **flat** if  $F_A = 0$ .  
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## Theorem

*There is a bijection of sets  $\{A \in \mathcal{A} \mid F_A = 0\} / \mathcal{G} = \text{hom}(\pi_1(M), G) / G$ , where  $G$  acts via conjugation on  $\text{hom}(\pi_1(M), G) / G$ .*

## Motivations

In finding invariants, we usually take some bundle over manifold with  $G = U(1), SU(2)$  or  $SO(3)$ . The  $U(1)$  case, while interesting, is somewhat different to the  $SU(2)/SO(3)$  cases. Examples of the latter:

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Now we are armed with some facts about connections, we will look at an example: a simple type of gauge invariant called the **Casson invariant** (interpretation due to Taubes).



# Casson Invariant

Let  $M$  be a compact 3-manifold with a trivial bundle  $P = M \times SU(2)$ . Then  $\mathcal{A} - \Gamma = \mathfrak{su}(2) \otimes \Omega^1(M)$ . Let  $\mathcal{A}^\# \subset \mathcal{A}$  denote the subset where  $\mathcal{G}$  acts with stabiliser  $\pm 1$  and denote  $\mathcal{B} := \mathcal{A}/\mathcal{G}$  (likewise  $\mathcal{B}^\#$ ) We will assume  $M$  is a homology-sphere, i.e.  $H_*(M) = H_*(S^3)$ .

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## Casson Invariant $C_a(M)$

Informally, the Casson invariant is "the signed count of flat connections on  $P$ ", or "the Euler characteristic of  $\mathcal{B}^\#$ ".

## Analogy

If  $(M, g)$  Riemannian manifold,  $v$  (non-degenerate) v.field, then:

$$\chi(M) = \sum_{p|v(p)=0} \text{sign}(\det \nabla v|_p)$$

Here  $\nabla$  is the Levi-Cevita connection on  $(M, g)$ . At each point  $p$ ,  $\nabla v|_p$  is a finite dimensional matrix on  $T_p M$ .

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## Strategy

Define a one-form on  $\mathcal{B}^\#$ , use some metric to make it a vector field, and find a way to take its derivative, and make sense of sign of determinants in infinite dimensional space  $T_{[A]}\mathcal{B}^\#$ .

Identify  $T_A \mathcal{A}$  with the vector space  $\mathfrak{su}(2) \otimes \Omega_p^1(M)$ . We use the one-form:

$$\begin{aligned} \mathfrak{f} : \mathcal{A} &\rightarrow T^* \mathcal{A} \\ \mathfrak{f}_A(a) &:= \int_M \text{tr}(a \wedge F_A) \end{aligned}$$

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There is a natural notion of a covariant derivative (omitting details) to get  $\nabla\mathfrak{f}_A$ , an infinite-dimensional matrix on  $T_{[A]}\mathcal{B}^\#$ .



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## Spectral Flow

So for such  $[A], [A'] \in \mathcal{B}^\#$ , pick a path between them in  $\mathcal{B}^\#$ , define:

$$n(A, A') = (\# \text{ eigenvalues of } \nabla f_A \text{ crossing } 0 \text{ from the right} - \# \text{ eigenvalues of } \nabla f_A \text{ crossing } 0 \text{ from the left}) \bmod 2 = \pm 1$$

One can show this is well-defined and path-independent.

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One can show this is well-defined and path-independent. Pick some non-trivial flat connection  $[A] \in \mathcal{B}^\#$ , then define the (unsigned) Casson invariant:

$$C_a(M) = \sum_{[A'] \neq [A] | F_{A'} = 0} n(A, A')$$

It turns out this is independent of the choices of Riemannian metric, and the flat connection used.

## Closing Remarks

- In the case of  $M = S^3$ , there are no non-trivial flat connections, so  $C_a(S^3) = 0$ .

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- Casson originally defined his invariants without gauge theory, using something called a Heegaard splitting (pictured).
- This invariant is just the beginning of a wider story of gauge theory, but this is the model example.

